

# **AXA IM COMPLIANCE Process**

AXA Investment Managers Paris  
Broadly Syndicated Loans and Private Debt

**Pre-sounding notice and contacts**

The purpose of this notice is to provide information on how your Firm must approach AXA IM Paris Alts Alternative Credit Broadly Syndicated Loans and Private debt with regards to market sounding globally (pre-marketing/pre-sounding/early bird meetings / wall-crossing events).

You can contact the Leveraged Loans team directly at the following email address: [europms@axa-im.com](mailto:europms@axa-im.com)

Please contact the team solely **on a no name basis** and provide a few elements of context for the opportunity.

Upon analysing your initial no-name basis script, the portfolio managers will choose whether they are willing to accept the entirety of the information relating to your opportunity. Please do not deliver any further information until you receive our portfolio managers' consent.

Please contact our Compliance team in case of questions: [parcomplianceexpertises&products@axa-im.com](mailto:parcomplianceexpertises&products@axa-im.com) and [sensitiveinfo.leveragedloansprivatedebt@axa-im.com](mailto:sensitiveinfo.leveragedloansprivatedebt@axa-im.com) .